IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

•

In re application of:

Michael J. McDermott et al.

Date: July 24, 2003

Group Art Unit:

Unassigned

IBM Corporation

Examiner:

Unassigned

: Intellectual Property Law

Serial No.:

Unassigned

Dept. 917, Bldg. 006-1

Filed:

Herewith

3605 Highway 52 North

Title:

PRIMARY-BACKUP GROUP WITH

Rochester, MN 55901-7829

BACKUP RESOURCES FAILOVER

HANDLER

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. Unassigned
Docket No. ROC920030140US1

PATENT

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

Date: July 24, 2003

Steven W. Roth, Consulting Attorney

Registration No. 34,712

Telephone:

(507) 253-1600

Fax:

(507) 253-2382

PTO/SB/08A	Application Number.:	Unassigned	
	Filing Date:	<u>Herewith</u>	
INFORMATION DISCLOSURE	First Named Inventor:	Michael J. McDermott	
STATEMENT BY APPLICANT	Art Unit:	Unassigned	
	Examiner Name:	Unassigned	
Sheet _1_ of _1_	Attorney Docket Number.:	ROC920030140US1	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T^2
		Patent Application entitled "Join Protocol for a Primary-Backup Group With Backup Resources in Clustered Computer System," filed on even date herewith by Robert Milleret al.	
	! !		

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional).

² Applicant is to place a check mark here if English language translation is attached.